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## REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
1-36571	CLASS	SUBCLASS		

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

17548 U.S. PTO  
10/684311



This is a request for filing a  continuation  divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT /US03/03921, filed on February 10, 2003 entitled

**PINION CARRIER FOR PLANETARY TRAIN AND METHOD OF MAKING SAME**

, which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		5 - 20 =	0	x <u>18</u> =	\$ 0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		2 - 3 =	0	x <u>84</u> =	0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ <u>0</u> =		0.00
			BASIC FEE (37 CFR 1.16(a))	+ <u>770.00</u>	
			Total of above Calculations =		770.00
			Reduction by 50% for filing by small entity (Note 37 CFR		385.00
				Total =	\$385.00

1. Enclosed are the specification, claims and drawing(s).
2.  Applicant claims small entity status. See 37 CFR 1.27.
3.  The Director is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 13-0005.
4.  A check in the amount of \$ \_\_\_\_\_ is enclosed.
5.  Payment by credit card. Form PTO-2038 is attached.
6.  Application Data Sheet is enclosed. See 37 CFR 1.76.
7.  Amend the specification by inserting before the first line the sentence: "This application is a  continuation  division of international application number PCT /US03/03921, filed February 10, 2003 (status, abandoned, pending, etc.)."

[Page 1 of 2]

This collection of information is required by 637 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION**

8.  A declaration under 37 CFR 1.63 is enclosed.

9.  Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119(a)-(d).

The certified copy is enclosed.

10.  A preliminary amendment is enclosed.

11.  Also enclosed: Fee Transmittal & Copy, Assignment & Information Disclosure Docs., postcard

Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record).

Donald R. Fraser

04859

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

October 11, 2003

Date



Signature

419 874-1100

Telephone Number

Donald R. Fraser

Typed or printed name

17,919

Registration Number, if applicable

Inventor(s)/Applicant(s)

Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

Attorney or agent of record

Filed under 37 CFR 1.34(a)  
Registration number if acting under 37 CFR 1.34(a). \_\_\_\_\_.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	David P. Huber
Examiner Name	
Art Unit	
Attorney Docket No.	1-36571

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money  Other  None  
 Deposit Account:

Deposit Account Number **13-0005**  
 Deposit Account Name **MacMillan Sobanski & Todd LLC**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<b>385.00</b>
1002	330	2002	165	Design filing	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)		(\$)		<b>\$385.00</b>	

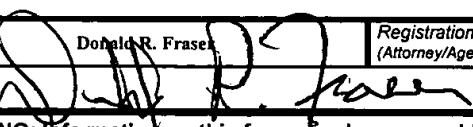
## 2. EXTRA CLAIM FEES FOR UTILITY AND

	Extra Claims	Fee from below	Fee Paid
Total Claims	5 -20** = 0	X 0 = 0.00	
Independent Claims	2 - 3** = 0	X 0 = 0.00	
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)		<b>\$0.00</b>	

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non - English specification	
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	410	2252	205 Extension for reply within second month	
1253	930	2253	465 Extension for reply within third month	
1254	1,450	2254	725 Extension for reply within fourth month	
1255	1,970	2255	985 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive - unavoidable	
1453	1,300	2453	650 Petition to revive - unintentional	
1501	1,300	2501	650 Utility issue fee (or reissue)	
1502	470	2502	235 Design issue fee	
1503	630	2503	315 Plant issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Statement	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	40.00
1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)
				<b>\$40.00</b>

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)		Registration No.	17,919	Telephone	419 874-1100
Signature		Date	October 11, 2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **David P. Huber et al.**

Docket No.

1-36571

Serial No.

Filing Date

Examiner

Group Art Unit

Invention:

**PINION CARRIER FOR PLANETARY GEAR TRAIN AND METHOD OF MAKING SAME**

I hereby certify that this Utility Patent Application (continuation of PCT/US03/03921)  
(*Identify type of correspondence*)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box  
1450, Alexandria, VA 22313-1450 on October 11, 2003  
(*Date*)

Irene Hill*(Typed or Printed Name of Person Mailing Correspondence)*Irene Hill*(Signature of Person Mailing Correspondence)*ER 047839795 US*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**